Electronic Acknowledgement Receipt				
EFS ID:	6888657			
Application Number:	10661211			
International Application Number:				
Confirmation Number:	7189			
Title of Invention:	Method and system for estimating a bucket transition distribution of on more bonds and for evaluating credit risk of collaterized debt obligation			
First Named Inventor/Applicant Name:	Gyan P. Sinha			
Customer Number:	28221			
Filer:	Brian W. Weber			
Filer Authorized By:				
Attorney Docket Number:	14846-96			
Receipt Date:	27-JAN-2010			
Filing Date:	12-SEP-2003			
Time Stamp:	14:36:18			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$810
RAM confirmation Number	806
Deposit Account	501358
Authorized User	

 $The \ Director \ of the \ USPTO \ is \ hereby \ authorized \ to \ charge \ indicated \ fees \ and \ credit \ any \ overpayment \ as \ follows:$ 

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
Request for Continued Examination (RCE)		sb0030e_fill.pdf	768802	no	3		
	350030E_IIII.puI	53f5b9c6e6249a2c8116a5ac91c0af6c49cb eee1	110				
Warnings:							
Information:							
2	Amendment.pdf	1422027	. yes	15			
		5009d67ec0b001c1467504abcafa26d7e34 5be0a					
Multipart Description/PDF files in .zip description							
	Document Description		Start	End			
	Amendment After Final		1	1			
	Claims		2	11			
	Applicant Arguments/Remarks Made in an Amendment		12	15			
Warnings:							
Information:							
3 Fee Worksheet (PTO-875)	fee-info.pdf	30357	no	2			
		ba801ebcf19559f095601c4cf378d4313a17f 6f8					
Warnings:							
Information:							
	Total Files Size (in bytes):		2221186				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.